

**Article title:**

Downregulation of the GABA<sub>A</sub> receptor  $\beta$ 2 subunit in a rat model of autism

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### **Conflict of interest**

Authors of the present study have no conflict of interest to disclose.

### **Funding**

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

### **Author contributions**

MLLM contributed to the study conceptualization and design, material preparation and provided study resources. The first draft of the manuscript was written by AAPL. FSV and AAPL performed the experiments and contributed to data acquisition and analysis. LDCC, CMV and LBP provided study resources and contributed to writing-review and editing. All authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

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### **Ethical Considerations**

This study was carried out in strict accordance with the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health and under the ARRIVE guidelines.

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1 **Decreased GABA<sub>A</sub> receptor  $\beta$ 2 subunit immunoreactivity in a**  
2 **rat model of autism.**

3

4 **Abstract**

5 **Introduction:** Gamma-aminobutyric acid (GABA) is the primary  
6 inhibitory neurotransmitter in the brain, and activation of  
7 GABA type A (GABA<sub>A</sub>) receptors mediates rapid inhibitory  
8 actions. Numerous studies have shown that individuals with  
9 autism spectrum disorder (ASD) exhibit abnormalities in the  
10 expression of GABA<sub>A</sub> receptors in several brain areas. In  
11 addition, animal models of ASD have suggested alterations  
12 in GABAergic neurotransmission and dysregulation of the  
13 balance between inhibitory and excitatory systems.

14 **Objective:** We investigated the immunolabeling of GABA<sub>A</sub>  
15 receptor  $\beta$ 2 subunit (GARB2) in the hippocampus, the  
16 amygdala, and the thalamus of infant rats prenatally  
17 exposed to valproic acid (VPA) as a model of ASD. **Methods:**  
18 Pregnant female rats were injected with VPA (600mg/Kg,  
19 i.p.) on embryonic day 12; control rats were injected with  
20 saline (SS group). On postnatal day 14, rats from both  
21 groups were anesthetized, transcardially perfused with 0.9%  
22 NaCl and 4% paraformaldehyde, and sequential coronal brain  
23 slices (40 $\mu$ m thickness) were obtained. Immunohistochemistry

1

24 was performed to detect GARB2, and the relative optical  
25 density (OD) of immunoreactivity was analyzed. **Results:** Our  
26 data showed a statistically significant decrease in GARB2  
27 immunoreactivity in the lateral amygdaloid nucleus and the  
28 ventral and lateral thalamic nuclei of VPA group when  
29 compared to the SS group. No statistically significant  
30 differences were found in the hippocampus. **Discussion:** Our  
31 findings suggest that prenatal exposure to VPA reduces  
32 GARB2 immunoreactivity in limbic brain regions involved in  
33 social-emotional behavior, consistent with previous reports  
34 in individuals with ASD. **Conclusion** These findings support  
35 for the involvement of the GABAergic system in the  
36 pathogenesis of ASD.

37  
38 **Keywords:** GABA, GABA<sub>A</sub>, Autism, Valproic acid, GARB2.

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49 **Introduction**

50 Autism spectrum disorder (ASD) is a complex  
51 neurodevelopment disorder characterized by difficulties in  
52 social communication (verbal and nonverbal), interaction  
53 and repetitive behaviors.<sup>1</sup> According to the Centers for  
54 Disease Control and Prevention in the United States, ASD  
55 affects 1 in 44 children, with a higher prevalence in boys  
56 than in girls (4.2 times more prevalent among boys).<sup>2</sup>  
57 However, the etiology of ASD remains unclear.

58 Individuals with ASD often exhibit abnormalities in  
59 glutamate<sup>3-6</sup> and gamma-aminobutyric acid (GABA)  
60 neurotransmission systems.<sup>7-11</sup> GABA receptors type A (GABA<sub>A</sub>)  
61 are ligand-gated ion channel that mediate rapid inhibition  
62 in the brain.<sup>12</sup> This receptor is composed by five protein  
63 subunits with different isoforms:  $\alpha$ 1-6,  $\beta$ 1-3,  $\gamma$ 1-3,  $\delta$ ,  $\epsilon$ ,

3



64  $\theta$ ,  $\pi$ .<sup>13,14</sup> The most common arrangement of GABA<sub>A</sub> receptors in  
65 the central nervous system (20 - 50% of all central  
66 synapses) is the  $\alpha 1\beta 2\gamma 2$ <sup>15,16</sup>, with GABA binding at the  
67 junction between  $\alpha$  and  $\beta$  subunits.<sup>17</sup> Autoradiography  
68 studies of brain tissue from individuals with ASD have  
69 revealed decrease density of GABA<sub>A</sub> and benzodiazepine  
70 receptors in the hippocampus and the anterior cingulate  
71 cortex.<sup>18-20</sup> In addition, reduced mRNA expression of GABA<sub>A</sub>  
72 receptor  $\alpha 6$ ,  $\beta 2$ , and  $\gamma 2$  subunits has been detected in the  
73 superior frontal cortex and the cerebellum of individuals  
74 with ASD.<sup>10</sup> Interestingly, the gene encoding the GABA<sub>A</sub>  
75 receptor  $\beta 2$  subunit has been associated with an increased  
76 risk of ASD.<sup>21</sup> Furthermore, 3-4% of individuals with ASD  
77 have chromosomal duplications in the proximal region of  
78 15q11-q13, the most commonly observed chromosomal  
79 abnormality in these patients.<sup>22</sup> This chromosomal region  
80 contains the *GABRB3*, *GABRA5*, and *GABRG3* genes, which encode  
81  $\beta 3$ ,  $\alpha 5$ , and  $\gamma 3$  subunits of the GABA<sub>A</sub> receptor,  
82 respectively.<sup>23</sup>

83 Preclinical studies using the valproic acid (VPA) rat  
84 model of ASD have demonstrated disruptions in the  
85 excitatory/inhibitory balance in the amygdala<sup>24</sup>, the  
86 hippocampus<sup>25,26</sup> and cortex.<sup>27</sup> Impaired GABA-mediated

87 inhibition has been identified in the rat hippocampus in  
88 the VPA-induced model,<sup>27</sup> as well as reduced GABA<sub>A</sub> receptor  
89  $\alpha$ 1,  $\alpha$ 2,  $\alpha$ 3 and  $\beta$ 3 mRNA levels in the medial prefrontal  
90 cortex of adult rodents.<sup>28</sup> Thus, evidence supports the  
91 hypothesis of GABAergic dysfunction in ASD. The VPA autism  
92 model has been widely used as an environmental model of ASD  
93 in rodents; however, the effect of prenatal exposure to VPA  
94 on brain GABA<sub>A</sub> receptor expression has not been fully  
95 characterized. In particular, the hippocampus, amygdala and  
96 thalamus are brain areas involved in behavioral alterations  
97 or pathological changes observed in both individuals with  
98 ASD and animal models.<sup>29</sup> Therefore, we aimed to investigate  
99 the expression of the GABA<sub>A</sub> receptor  $\beta$ 2 subunit (GARB2) in  
100 these brain areas in infant rats exposed to VPA *in utero*.

101

## 102 **Methods**

### 103 **Animals**

104 This study adhered to Mexican guidelines on the care and  
105 use of laboratory animals (NOM-062-ZOO-1999) and was  
106 approved by the Internal Committee for the Care and Use of  
107 Laboratory Animals of the Instituto de Investigaciones  
108 Cerebrales (CICUAL-CICE 2017-002-c). Wistar rats were

109 obtained from our local colony and housed in our vivarium.  
110 Throughout the study, rats were maintained in a 12:12 h  
111 light-dark cycle, with lights on at 08:00, under room  
112 temperature and humidity conditions, with free access to  
113 water and food (Rismart). Adult female rats with regulated  
114 fertility cycles were mated overnight with a sexually  
115 experienced male. The presence of spermatozoa in vaginal  
116 smears the following morning indicated the first day of  
117 pregnancy. On the twelfth and a half embryonic day, females  
118 received a single intraperitoneal injection of 600 mg/kg of  
119 VPA (sodium valproate Sigma-Aldrich, St. Louis, MO,  
120 dissolved in 0.9% NaCl for a concentration of 250 mg/mL)  
121 for the VPA group. Control rats were injected with 0.9%  
122 NaCl on the same embryonic day (SS group). Females were  
123 housed individually and allowed to rear their litters.<sup>30</sup>  
124 Experiments to assess GARB2 immunoreactivity were performed  
125 on postnatal day 14 (P14) rat pups. The SS group consisted  
126 of 9 rats (3 males and 6 females), while the VPA group  
127 consisted of 10 rats (8 males and 2 females).

128

### 129 **Immunohistochemistry**

130 The rats were deeply anesthetized with sodium pentobarbital  
131 (60 mg/kg, i.p.) and transcardially perfused with 0.9% NaCl

132 followed by 4% paraformaldehyde (prepared in 0.1 M  
133 phosphate buffer [PB], pH=7.4) at a flow rate of 12 mL/min  
134 flow. Brains were left *in situ* overnight at 4 °C. The next  
135 day, they were removed and postfixed in the same fixative  
136 for an additional 2 h. Subsequently, the brains were  
137 cryoprotected with 30% sucrose (prepared in 0.1M PB) for 72  
138 h at 4 °C. Brain coronal sections (40 µm thick) were  
139 obtained at the level of the dorsal hippocampus using a  
140 Leica cryostat.

141 For the immunohistochemical detection, the slices were  
142 rinsed in 0.1 M PB containing 0.1% triton (0.1% PBT).  
143 Endogenous peroxidases were quenched with 30% hydrogen  
144 peroxide for 10 min. To block nonspecific binding, the  
145 slices were treated with 5% horse serum in 0.3% PBT for 1 h  
146 at room temperature. Subsequently, the slices were  
147 incubated with the primary antibody against GARB2 (1:1000;  
148 MAB341, Millipore) for 48 h at 4 °C. The slices were then  
149 incubated with a biotinylated anti-mouse secondary antibody  
150 (1:400; Vector Laboratories Inc.) for 90 min at room  
151 temperature, followed by incubation with the avidin-biotin  
152 complex (ABC kit PK-6100 Vector Laboratories Ellite-  
153 Standard Inc.) for an additional 90 min at room  
154 temperature. Immunodetection was visualized using 3,3'-

155 diaminobenzidine in the presence of nickel (SK-4100 Vector  
156 Laboratories Inc.). Brain slices were mounted on  
157 electrostatically charged glass slides (Superfrost, Fisher  
158 Scientific) and coverslipped using non-aqueous medium  
159 (Permount, Fisher). Immunolabeling was performed on some  
160 brain slides without the incubation with primary antibody  
161 to discard non-specific immunostaining (negative control);  
162 no unwanted immunoreactivity was found.

163

#### 164 **Densitometric analysis**

165 Photomicrographs of three different brain sections per rat  
166 (from either left or right hemisphere) were taken using a  
167 Leica DM500 light microscope connected to a Leica ICC50 HD  
168 digital camera. The Leica Application System LAS EZ 4.8  
169 software was used for this purpose. Photomicrographs were  
170 taken of the dorsal hippocampus (including the strata  
171 oriens, pyramidal, and radiatum in CA1, CA2, and CA3  
172 fields, as well as the granule cell layer and hilus of the  
173 dentate gyrus), the lateral and basolateral nuclei of the  
174 amygdala, and the ventral and lateral nuclei of the  
175 thalamus. A standard brightness of 55% and a magnification  
176 of 40x were used.

177           The relative optical density (OD) of GARB2  
178 immunoreactivity was analyzed using Fiji Image J software.  
179 The software was calibrated according to developer's  
180 instructions, allowing the transformation of pixel values  
181 to a scale that correlates with optical density. This  
182 allowed the determination of the mean gray value of the  
183 region of interest (ROI).<sup>31</sup> The ROI was defined as 6,500  $\mu\text{m}^2$   
184 for each stratum of the hippocampus and 70,000  $\mu\text{m}^2$  for both  
185 the amygdala and the thalamus. The presence of  
186 immunoreactivity to GARB2 appeared as gray to black, while  
187 its absence was indicated by a white color. The OD  
188 background was determined by averaging the optical density  
189 of the corpus callosum from the slices used. This brain  
190 region was chosen because it does not contain GABA<sub>A</sub>  
191 receptors.<sup>32</sup> The background was then subtracted from all  
192 images. The final GARB2 OD for each animal was obtained by  
193 averaging the OD from the three analyzed slices and  
194 expressed as arbitrary units (a.u.). A higher relative OD  
195 indicates increased expression of the protein of interest.

196

## 197 **Statistical analysis**

198 Data were initially assessed for normality of distribution  
199 using the Shapiro-Wilk test. Differences in GARB2  
200 immunoreactivity between the VPA and SS groups in different  
201 brain regions were analyzed using either an unpaired two-  
202 tailed Student's t-test or a Mann-Whitney test, as  
203 appropriate. Analyses were performed using GraphPad Prism  
204 software (version 6), with a significance level of  $\alpha =$   
205 0.05.

206

## 207 **Results**

208 Statistical analysis showed that prenatal VPA  
209 administration significantly decreased GARB2  
210 immunoreactivity in the basolateral nucleus of the amygdala  
211 ( $t=2.814$ ,  $df=17$ ;  $p = 0.012$ ) compared to the SS group. A  
212 non-significant reduction was also observed in the lateral  
213 amygdaloid nucleus ( $MWU=21$ ;  $p=0.0534$ ). Similarly, VPA-  
214 treated rats exhibited significantly lower OD values,  
215 reflecting reduced GARB2 immunoreactivity in both the  
216 lateral ( $t=2.804$ ,  $df=17$ ;  $p=0.0122$ ) and ventral ( $t=3.281$ ,  
217  $df=17$ ;  $p<0.004$ ) thalamic nuclei compared to SS group. No  
218 significant differences were found between VPA and SS  
219 groups in any hippocampal subregions or strata ( $p>0.05$ ),

10

220 although a trend toward decreased GARB2 immunoreactivity  
221 was observed in the CA2 pyramidal layer (MWU=23.5; p=0.07;  
222 Figures 1 and 2).

223

## 224 **Discussion**

225 One hypothesis proposed to explain the etiology of ASD is  
226 the imbalance between neuronal excitation and inhibition,  
227 primarily mediated by glutamate and GABA, respectively.<sup>33</sup>  
228 In this study, we found that infant rats prenatally exposed  
229 to VPA exhibited reduced GARB2 immunoreactivity in specific  
230 regions of the amygdala and thalamus compared to control  
231 rats. These preclinical results support the relevance of  
232 GABA receptors in the pathophysiology of autism.

233 Several studies have reported a decrease in GABA  
234 levels in the frontal lobe and anterior cortex of patients  
235 with ASD<sup>11,34</sup>, and decreased levels of glutamic acid  
236 decarboxylase (GAD) 65 and 67, the enzyme that catalyzes  
237 the conversion of glutamate to GABA, in the parietal cortex  
238 and cerebellum of post-mortem samples from adults with  
239 ASD,<sup>8,35</sup> and in the hippocampus and cerebellum of VPA-  
240 exposed rats.<sup>36</sup> With respect to GABA receptors, lower  
241 densities of GABA<sub>A</sub> receptors have been found in the



242 hippocampus, anterior and posterior cingulate cortex, and  
243 fusiform gyrus of post-mortem brain tissue from individuals  
244 with autism.<sup>18-20</sup> Additionally, there is a reduction in GARB2  
245 protein levels in the superior frontal cortex and down-  
246 regulation of its mRNA in the cerebellum.<sup>10</sup> Interestingly,  
247 GARB2 polymorphisms have also been associated with ASD.<sup>21</sup> A  
248 (123) I-iomazenil (IMZ, a benzodiazepine ligand) SPECT  
249 study in children with ASD found decreased accumulation of  
250 (123) I-IMZ in the middle and superior frontal cortex.<sup>37</sup>  
251 However, a more recent study found no changes in GABA<sub>A</sub>  
252 receptor or GABA<sub>A</sub> α5 subunit availability in the  
253 hippocampal or amygdala regions of adults with ASD.<sup>33</sup>

254 Our results showed that infant rats prenatally exposed  
255 to VPA displayed decreased GARB2 immunoreactivity in the  
256 amygdala and thalamus compared to age-matched rats with  
257 standard gestation. These findings align with the  
258 excitation/inhibition imbalance hypothesis in individuals  
259 with ASD. Reduced expression of GARB2, which indirectly  
260 indicates reduced availability of GABA<sub>A</sub> receptors, may  
261 contribute to social deficits<sup>25,38,39</sup> and other neurological  
262 changes observed in the VPA rat model, such as increased  
263 seizure susceptibility.<sup>25,30</sup> The gene encoding GARB2 has  
264 been previously associated with a higher risk of ASD.<sup>21</sup> It

265 is noteworthy that both the amygdala and thalamus have been  
266 implicated in behavioral alterations and pathological  
267 changes observed in individuals with ASD and animal  
268 models.<sup>25,29</sup>

269 Consisted with our findings, Yang et al.<sup>28</sup> also  
270 described impaired inhibitory GABAergic neurotransmission  
271 due to decreased GABA release and mRNA levels of GABA<sub>A</sub>  
272 receptor  $\alpha$ 1,  $\alpha$ 2,  $\alpha$ 3, and  $\beta$ 3 subunits in the medial  
273 prefrontal cortex of VPA-exposed mice. These authors also  
274 demonstrated that acute administration of combined GABA<sub>A</sub>  
275 and GABA<sub>B</sub> receptor agonists reduced deficits in  
276 sociability, anxiety, and repetitive behaviors in this ASD  
277 model.<sup>28</sup> However, Bertelsen et al.<sup>40</sup> reported increased  
278 binding of [<sup>11</sup>C] Ro15-4513 (an agonist with high affinity  
279 for the GABA<sub>A</sub> receptor  $\alpha$ -subunit) in the left amygdala of  
280 VPA-treated rats as an ASD model, whereas no significant  
281 differences were found in the thalamus compared to control  
282 rats. That study differs from ours in the VPA  
283 administration protocol and receptor detection methodology.  
284 They administered 20 mg/kg of VPA daily during pregnancy,  
285 while we injected a single dose of 600 mg/kg on embryonic  
286 day 12. Therefore, it is essential to consider potential

287 differences in the assessed neurobiological changes  
288 depending on the methodological procedures used. Another  
289 study conducted in other ASD mouse models (*Cntnap2* or  
290 *Shank3* knockout mice and mice with the 16p11.2 deletion)  
291 did not identified differences in the levels of GABA<sub>A</sub>  
292 receptors or their  $\alpha 5$  subunit in the frontal cortex,  
293 cingulate cortex, caudate/putamen, dorsal hippocampus,  
294 cerebellum, or amygdala between these three models or  
295 compared to control mice.<sup>35</sup> This discrepancy may be due to  
296 specific changes in GABA<sub>A</sub> receptor subunits or the  
297 different etiology of the ASD models (i.e., environmental  
298 versus genetic). Additional experimental protocols are  
299 needed to better understand the complex neurobiology of  
300 ASD.

301

## 302 **Conclusions**

303 Our study provides further evidence supporting the role of  
304 GABAergic dysfunction, specifically GARB2 expression, in  
305 the pathophysiology of ASD using a VPA-induced rat model.  
306 Our findings indicate that prenatal VPA exposure leads to  
307 reduced GARB2 immunoreactivity in the amygdala and  
308 thalamus, regions associated with social deficits and other

14

309 neurological alterations in ASD. These findings are  
310 consistent with the hypothesis of an excitation/inhibition  
311 imbalance in individuals with ASD. However, the complex  
312 neurobiology of ASD warrants further investigation to  
313 elucidate the contributions of specific GABA<sub>A</sub> receptor  
314 subunits and the varying etiologies of ASD models. A deeper  
315 understanding of the role of the GABAergic system in ASD,  
316 including the impact of hippocampal GABAergic receptors,  
317 could pave the way for novel therapeutic interventions and  
318 help improve the quality of life for individuals with ASD.

319

## 320 **Figure legends**

321 **Figure 1.** Effect of prenatal exposure to valproic acid  
322 (VPA) on GABRB2 immunoreactivity in the amygdala, thalamus,  
323 and hippocampus of postnatal day 14 rat pups.

324 Abbreviations: SS, saline solution; LaA, lateral nucleus of  
325 the amygdala; BLA, basolateral nucleus of the amygdala; LT,  
326 lateral nucleus of the thalamus; VT, ventral nucleus of the  
327 thalamus; Or, oriens; Py, pyramidale; Ra, radiatum; DG,  
328 Dentate gyrus; Gr, Granular layer, Hi, Hilus; CA1, CA2, and  
329 CA3 hippocampal regions. \*p<0.05.

330

331 **Figure 2.** Photomicrographs show GARB2 immunoreactivity in  
332 the amygdala and thalamus of a postnatal day 14 rat pup  
333 exposed in utero to valproic acid (VPA) or saline solution  
334 (SS). The insets show greater GARB2 immunoreactivity in the  
335 rat from the SS group than in the rat from the VPA group  
336 (scale bars =100 µm). Arrowheads point to GARB2  
337 immunoreactive cells. Abbreviations: LaA, lateral nucleus  
338 of the amygdala; BLA, basolateral nucleus of the amygdala;  
339 LT, lateral nucleus of the thalamus; VT, ventral nucleus of  
340 the thalamus.

341

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